

**IN THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF GEORGIA  
ATLANTA DIVISION**

TASER INTERNATIONAL, INC., <i>et al.</i> ,	)	
	)	
Plaintiffs,	)	Case No.: 1:10-CV-03108-JOF
	)	
v.	)	[On removal from the State
	)	Court of Fulton County,
MORGAN STANLEY & CO., INC., <i>et al.</i> ,	)	Georgia Case No.:
	)	2008-EV-004739-B]
Defendants.	)	
	)	

**PLAINTIFFS' CERTIFICATE OF INTERESTED PERSONS AND  
CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1 and Local Rule 3.3,

Plaintiffs state as follows:

**(1) The undersigned Counsel of Record for the Plaintiffs in this action certifies that the following is a full and complete list of all parties in this action, including any parent corporation and any publicly held corporation that owns 10% or more of the stock of the party:**

**Plaintiffs:**

TASER International, Inc.;

David Batchelor;

Natalie Batchelor;

Dr. Thomas Collentine;

Judith Collentine;

Charles Faires;

Sandra Faires;

Masaji Kelley;

Kelly Kelley;

Stephen Lisenby;

Patricia Lisenby;

Richard D. Almeroth, in his capacity as trustee of the Richard D.

Almeroth and Constance L. Almeroth Living Trust;

Constance L. Almeroth, in her capacity as trustee of the Richard D.

Almeroth and Constance L. Almeroth Living Trust;

James Baker, Jr.;

Robert Baker, in his capacity as trustee for the Robert M. Baker MD

N. Broward Radiologists PA Employee Profit Sharing Plan and M/P Pension  
Plan;

William Burnside, in his individual capacity and in his capacity as

trustee for the Kellie Len Burnside Irrevocable Trust and Helen C. Burnside  
Irrevocable Trust;

Donna C. Cash, as Personal Representative of the Estate of Dorothy  
A. Connelly;

Southeast Eye Surgery Clinic, Inc. Employee PSP;

James Dunagin, Jr, in his individual capacity and as trustee for the  
James L. Dunagin Jr. Trust, Emily B. Dunagin Trust, and Southeast Eye  
Surgery Clinic, Inc. PSP;

Emily Dunagin, in her individual capacity and as trustee for the James  
L. Dunagin Jr. Trust and Emily B. Dunagin Trust;

Richard C. Haskell;

Susan Haskell;

Richard C. Haskell, Jr.;

Amy Haskell;

Mary Richardson;

Pamela Lewis;

Jane Maj, in her capacity as the trustee for Jane S. Maj Trust;

Margaret Roche;

Rosemary Scott, as Personal Representative of the Estate of Chet  
Scott;

John Scott;

Peter Scott;

Michelle Scott;

Mary Rose Stucker;

Michael Boyer;

Phillips Waller Smith, in his capacity as trustee of the Phillips W.

Smith Family Trust;

Patrick W. Smith;

Thomas P. Smith;

Deanna M. Smith;

Bruce Culver; and

Donna T. Culver

**Defendants:**

Morgan Stanley & Co., Inc.;

Goldman Sachs & Co.;

Goldman Sachs Execution & Clearing, LP;

Bear Stearns & Co., Inc. (k/n/a J.P. Morgan Securities, Inc.)

Bear Stearns Securities Corp. (k/n/a JP Morgan Clearing Corp.)

Merrill Lynch, Pierce, Fenner & Smith, Inc.

Merrill Lynch Professional Clearing Corporation

Deutsche Bank Securities, Inc.

Credit Suisse Securities (USA) LLC

Banc of America Securities, LLC

UBS Securities, LLC

**Others:**

UBS Americas, Inc.

UBS AG

Credit Suisse (USA), Inc.

Credit Suisse Holdings (USA), Inc.

Credit Suisse Group AG

Credit Suisse

Goldman Sachs Group, Inc.

Bank of America Corporation

Deutsche Bank AG

Morgan Stanley

**(2) The undersigned further certify that the following is a full and complete list of all other persons, associations of persons, firms, partnerships, or corporations (including those related to a party as a subsidiary, conglomerate, affiliate, or parent corporation) having either a financial interest in or other interest which could be substantially affected by the outcome of this particular case:**

The below-listed law firms representing the Plaintiffs.

The below-listed law firms representing the Defendants.

The O'Quinn Law Firm.

Parents, affiliates or subsidiaries of the Defendants listed in # 1 above.

**(3) The undersigned further certify that the following is a full and complete list of all persons serving as attorneys for the parties in this proceeding:**

**For All Plaintiffs:**

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/s/ Steven Rosenwasser

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Counsel for Plaintiffs

**CERTIFICATE OF COMPLIANCE**

Pursuant to Local Rule 7.1D of the Local Rules for the District Court for the Northern District of Georgia, I hereby certify that the foregoing pleading has been prepared in Times New Roman, 14 point font, as permitted by Local Rule 5.1B.

Respectfully submitted this 6<sup>th</sup> day of October, 2010.

/s/ Steven Rosenwasser  
Steven J. Rosenwasser  
Georgia Bar No. 614908

**CERTIFICATE OF SERVICE**

I hereby certify that on this day, a true and correct copy of the foregoing **PLAINTIFFS' CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT** was electronically filed with the Clerk of Court using the Court's electronic filing system which will automatically send an email notification of such filing to the following attorneys of record who are registered participants in the Court's electronic notice and filing system:

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Further, I hereby certify that on this day, I caused a true and correct copy of the foregoing **PLAINTIFFS' CERTIFICATE OF INTERESTED PERSONS AND CORPORATE DISCLOSURE STATEMENT** to be served by U.S. Mail on:

**Attorneys for Banc of America Securities, LLC and  
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This the 6<sup>th</sup> day of October, 2010.

/s/ Steven J. Rosenwasser

Steven J. Rosenwasser

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